



Docket/Ref. No.: APPT-001-1-1

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dietz et al.

Serial No.: 10/684,776

Filed: October 14, 2003

Title: METHOD AND APPARATUS FOR  
MONITORING TRAFFIC IN A  
NETWORK

Group Art Unit: 2141

Examiner:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL: INFORMATION DISCLOSURE STATEMENT**

Dear Commissioner:

Transmitted herewith are:

- An Information Disclosure Statement for the above referenced patent application, together with PTO form 1449 and a copy of each reference cited in form 1449.
- A payment for petition fees.
- Return postcard.
- The commissioner is hereby authorized to charge payment of any missing fee associated with this communication or credit any overpayment to Deposit Account 50-0292.

A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED

Respectfully submitted,

Date: March 4, 2004

  
Dov Rosenfeld  
Attorney/Agent for Applicant(s)  
Reg. No. 38687

Correspondence Address:

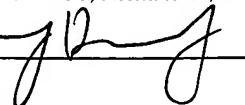
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**Certificate of Mailing under 37 CFR 1.18**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: March 4, 2004

Signature:

  
Amy Drury

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**INFORMATION DISCLOSURE STATEMENT**

Dear Commissioner:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or  
 (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:  
 \_\_\_\_\_ Certification under 37 CFR 1.97(e), or  
 \_\_\_\_\_ a \$180.00 fee under 37 CFR 1.17(p)  
 (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:  
 \_\_\_\_\_ Certification under 37 CFR 1.97(e), and  
 \_\_\_\_\_ a petition under 37 CFR 1.97(d)(2)(ii), and  
 \_\_\_\_\_ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
 (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

**Certificate of Mailing under 37 CFR 1.18**

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Date of Deposit: March 9, 2004

Signature: \_\_\_\_\_

Amy Drury

X (Cited in a related case) Each item of information contained in this information disclosure statement was first cited in a communication from the U.S. Patent and Trademark Office in a related application. The present application is related to such other applications by claiming priority of the same U.S. Provisional patent application.

It is expressly requested that the cited information be made of record in the application and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Date: March 4, 2004

Respectfully submitted,

  
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<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO. APPT-001-1-1		SERIAL NO. 10/684,776	
		APPLICANT Dietz et al.			
		FILING DATE 14 Oct 2003	GROUP 2141		

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	6,625,657 B1	Sep. 23, 2003	Bullard	709	237	Mar. 25, 1999
	AB	6,330,226 B1	Dec. 11, 2001	Chapman et al.	370	232	Jan. 27, 1998
	AC	6,651,099 B1	Nov. 18, 2003	Dietz et al.	709	224	Jun. 30, 2000
	AD	6,424,624 B1	Jul. 23, 2002	Galand et al.	370	231	Oct. 7, 1998
	AE	6,279,113 B1	Aug. 21, 2001	Vaidya	713	201	Jun. 4, 1998
	AF	6,363,056 B1	Mar. 26, 2002	Beigi et al.	370	252	Jul. 15, 1998
	AG	6,115,393	Sep. 5, 2000	Engel et al.	370	469	Jul. 21, 1995
	AH	4,972,453	Nov. 20, 1990	Daniel, III et al.	379	10	Feb. 28, 1989
	AI	5,535,338	Jul. 9, 1996	Krause et al.	395	200.20	May 30, 1995
	AJ	5,802,054	Sep. 1, 1998	Bellenger	370	401	Aug. 16, 1996
	AK	5,720,032	Feb. 17, 1998	Picazo, Jr. et al.	395	200.2	Jan. 28, 1997

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES <input type="checkbox"/> NO <input type="checkbox"/>
	AL						
	AM						

**OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)**

	AN	R. Periakaruppam and E. Nemeth. "GTrace-A Graphical Traceroute Tool." 1999 Usenix LISA. Available on www.caida.org, URL: <a href="http://www.caida.org/outreach/papers/1999/GTrace/GTrace.pdf">http://www.caida.org/outreach/papers/1999/GTrace/GTrace.pdf</a>
	AO	W. Stallings. "Packet Filtering in the SNMP Remote Monitor." November 1994. Available on www.ddj.com, URL: <a href="http://www.ddj.com/documents/s=1013/ddj9411h/9411h.htm">http://www.ddj.com/documents/s=1013/ddj9411h/9411h.htm</a>

EXAMINER	DATE CONSIDERED
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\*EXAMINER: initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.